

Generalized spline spaces and their optimal basis

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Outline

- ① Polynomial vs. generalized splines
- ② An approach for the construction of the "optimal" basis
- ③ Computation with generalized splines
- ④ Existence of the optimal basis

Polynomial vs. Generalized splines [I]

- Let $[a, b]$ be a finite and closed interval and let

$$\Delta = \{x_j\}_1^k \quad \text{with} \quad a = x_0 < x_1 < \cdots < x_k < x_{k+1} = b$$

be a partition of it into $k + 1$ subintervals

$$I_j = [x_j, x_{j+1}), \quad j = 0, 1, \dots, k-1, \quad I_k = [x_k, x_{k+1}].$$

- Let m be a positive integer and let $M = (m_1, \dots, m_k)$ be a vector of integers with $1 \leq m_j \leq m$, $j = 1, 2, \dots, k$.

Polynomial splines

$S(\mathcal{P}_m, M, \Delta) := \{s \mid \text{there exist polynomials } s_0, \dots, s_k \text{ in } \mathcal{P}_m \text{ such that:}$

- i) $s(x) = s_j(x)$ for $x \in I_j$, $j = 0, 1, \dots, k$
- ii) $D^r s_{j-1}(x_j) = D^r s_j(x_j)$, $r = 0, \dots, m - m_j - 1$, $j = 1, \dots, k$ }

- The space $S(\mathcal{P}_m, M, \Delta)$ has dimension $m + \sum_{j=1}^k m_j$

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 - ▶ $\langle 1, \cosh(a_j x), \sinh(a_j x), \cos(b_j x), \sin(b_j x) \rangle, a_j, b_j \in \mathbb{R}, a_j, b_j > 0$

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 - ▶ $\langle 1, \cos(a_j x), \sin(a_j x), x \cos(a_j x), x \sin(a_j x) \rangle$
- exact reproduction of salient functions
- shape-preserving approximation
- alternative to the rational model (Non-Uniform Rational B-splines)

📄 [Schoenberg; J. Math. Mech. 1964],[Jerome,Schumaker; J. Approx. Theory 1976],[Lyche,Winter; J. Approx. Theory 1979],[Barry; Constr. Approx. 1996],[Carnicer,Mainar,Peña; Constr. Approx. 2003],[Costantini,Lyche,Manni; Numer. Math. 2005],[Buchwald, Mühlbach; JCAM 2003],[Wang,Fang; JCAM 2008],[Ayalon,Dyn,Levin; J. Approx. Theory 2009],[Bosner,Rogina;Adv. Comput. Math. 2013],...

Suitable function spaces

- Applications in

- Design, Geometric Modeling

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- suitable spaces must have the ONTP basis $\left\{ \begin{array}{l} \text{Optimal} \\ \text{Normalized} \\ \text{Totally} \\ \text{Positive} \end{array} \right.$

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- non-uniform knots, multiple knots, parametric continuity

ONTP Bases for generalized spline spaces

- Find explicit expressions for the basis functions and/or computational algorithms for their evaluation
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- ▶ In the spline setting, approaches for the construction and analysis of existence of the ONTP basis are available for particular spaces only
 - 📖 [Bosner, Rogina; Numer. Algor. 2007], [Costantini; Comput. Aided Geom. Design 2000], [Wang, Fang; JCAM 2008], [Xu, Wang; J. Comput. Sci. Technol. 2007]

How the Bernstein Basis was introduced in CAGD

▣ Rabut, Comput. Aided-Design 2000; Farouki, Comput. Aided Geom. Des. 2012

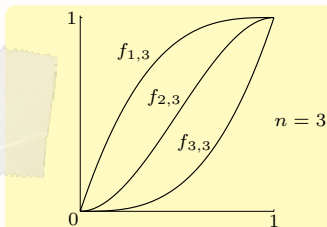
- **Bézier basis** for the space \mathcal{P}_n of degree- n polynomials (1966):

$$f_{0,n} = 1, \quad f_{i,n}(t) = \sum_{j=i}^n (-1)^{i+j} \binom{n}{j} \binom{j-1}{i-1} t^j$$

with $i = 1, \dots, n$ and $t \in [0, 1]$

- **Bézier curve**: given an initial point p_0 and n vectors a_1, \dots, a_n

$$c(t) = p_0 + \sum_{i=1}^n a_i f_{i,n}(t)$$



- ▶ $f_{i,n}(0) = 0, f_{i,n}(1) = 1$
- ▶ $f_{i,n}^{(r)}(0) = 0, r = 1, \dots, i-1$
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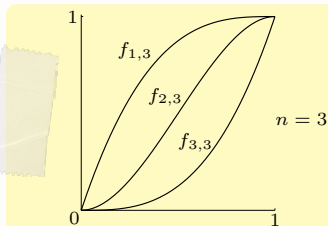
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- The Bernstein basis can be expressed in terms of the f 's:

$$B_{0,n} = 1 - f_{1,n} \quad B_{i,n} = f_{i,n} - f_{i+1,n} \text{ for } i = 1, \dots, n-1 \quad B_{n,n} = f_{n,n}$$

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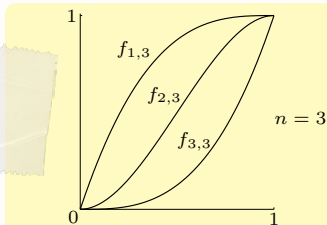
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- From the partition-of-unity property of the Bernstein basis: $f_{i,n} = \sum_{j=i}^n B_{j,n}$

A construction for the polynomial B-spline basis [1]

- $\Delta = \{x_j\}$ knot partition, $M = \{m_j\}$ multiplicities of $\{x_j\}$

▮ $S(\mathcal{P}_m, M, \Delta)$ spline space

- $\Delta^* = \{t_i\}$ extended partition

$$m_i^R := \max\{p \geq 0 \mid t_i = t_{i+p}\} + 1, \quad m_i^L := \max\{p \geq 0 \mid t_{i-p} = t_i\} + 1$$

$\{N_{i,m}\}$ B-spline basis

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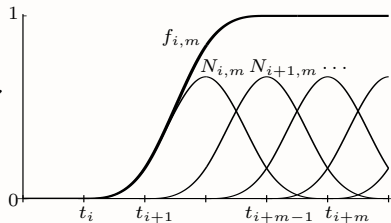
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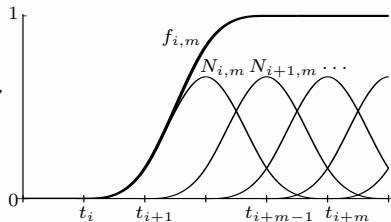
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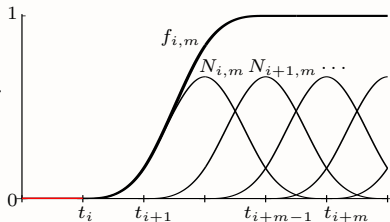
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(i) $f_{i,m}(x) = 0$ for $x \leq t_i$  compact support



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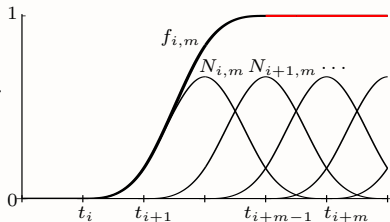
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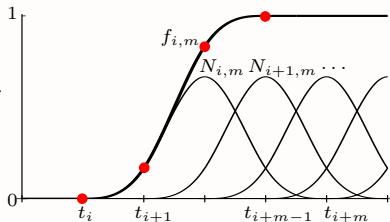
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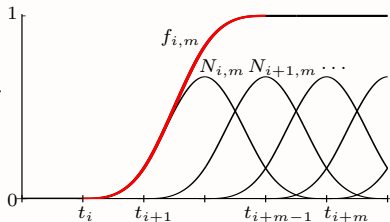
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\Leftarrow the least continuity among $\{N_{j,m}\}$

(iv) $f_{i,m}$ is monotonically increasing \Leftarrow from the zero property of polynomial splines,

$$f'_{i,m} > 0$$

A construction for the polynomial B-spline basis [II]

Transition function

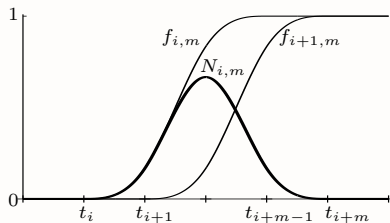
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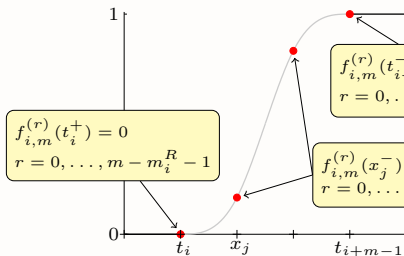
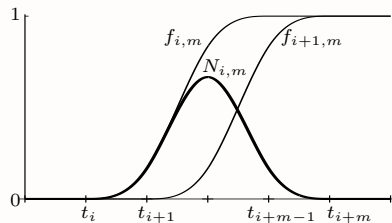


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$$f_{i,m}^{(r)}(t_i^+) = 0$$

$$r = 0, \dots, m - m_i^R - 1$$

$$f_{i,m}^{(r)}(t_{i+m-1}^-) = \delta_{r,0}$$

$$r = 0, \dots, m - m_{i+m-1}^L - 1$$

$$f_{i,m}^{(r)}(x_j^-) = f_{i,m}^{(r)}(x_j^+)$$

$$r = 0, \dots, m - m_j - 1$$

$f_{i,m}$ can be uniquely determined by solving the linear system of the **continuity conditions** at the knots:

$$\# \text{DoF} = \# \text{CC}$$

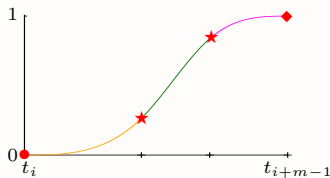
(also in the case of multiple knots)

Generalizing the construction

- $\Delta = \{x_j\}$ knot partition, $M = \{m_j\}$ multiplicities of $\{x_j\}$, $\Delta^* = \{t_i\}$ extended partition
- We let each piece of $f_{i,m}$ belong to a **different** m -dimensional function space $\mathcal{U}_{j,m} = \langle 1, u_{j,2}, \dots, u_{j,m} \rangle$ associated with $[x_j, x_{j+1}]$

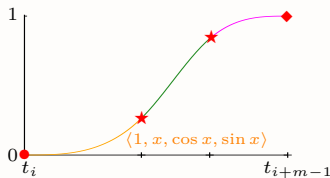
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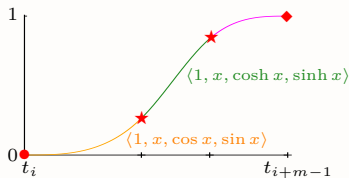
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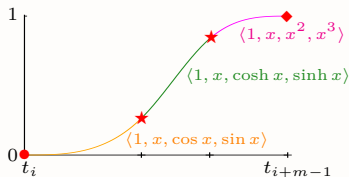
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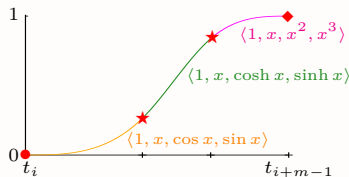
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$$\forall j \text{ s.t. } t_i < x_j < t_{i+m-1}$$

$$\blacklozenge f_{i,m}^{(r)}(t_{i+m-1}^-) = \delta_{r,0} \quad r = 0, \dots, m - m_{i+m-1}^L - 1$$



Generalizing the construction

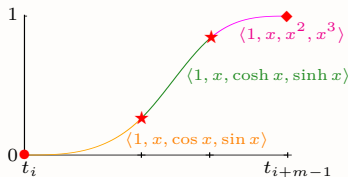
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- $\exists!$ solution by properly choosing $\mathcal{U}_{j,m}$ \Rightarrow QEC spaces

EC and QEC spaces

Quasi Extended Chebyshev space [Mazure, Numer. Math. 2008]

An m -dimensional space $\mathcal{U} \subset C^{m-2}(I)$, $m \geq 2$, is **QEC** on $I \subset \mathbb{R}$ if:

- any Hermite interpolation problem in m data in I , with at least two distinct points, has a unique solution in \mathcal{U}
- equivalently, for $m > 2$, any nonzero element of \mathcal{U} with at least two distinct zeros vanishes at most $m - 1$ times in I , counting multiplicities

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- \mathcal{U} QEC $\implies \int \mathcal{U}$ is QEC, $g(x) \cdot \mathcal{U}$ is QEC for any $g(x) > 0$, $D\mathcal{U}$ is not QEC in general
 - $\mathcal{U}_m = \langle 1, u_2, \dots, u_m \rangle$ and $D\mathcal{U}_m = \langle u'_2, \dots, u'_m \rangle$ are QEC spaces on $I \iff \mathcal{U}_m$ has the Bernstein (ONTP) basis on I

Generalized spline spaces and ONTP Bases

- $\Delta = \{x_j\}$ knot partition, $M = \{m_j\}$ multiplicities of $\{x_j\}$, $\Delta^* = \{t_i\}$ extended partition
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Piecewise quasi Chebyshevian spline space

$S(\mathcal{U}_m, M, \Delta) := \{s \mid \text{there exist } s_j \in \mathcal{U}_{j,m}, \forall j, \text{ such that:}$

- i) $s(x) = s_j(x)$ for $x \in [x_j, x_{j+1})$, $\forall j$;
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- $\{f_{i,m}\}$ is a basis for $S(\mathcal{U}_m, M, \Delta)$
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- $f_{i,m}$ vanishes at least $m - m_i^R$ times at t_i (exactly if $m_i^R > 1$) and $1 - f_{i,m}$ vanishes at least $m_{i+m-1}^L - 1$ times at t_{i+m-1} (exactly if $m_{i+m-1}^L > 1$)

B-spline and Bernstein Bases

B-spline basis

We say that $N_{i,m}$, $i = 1, \dots, m + K$ is the B-spline basis of $S(\mathcal{U}_m, M, \Delta)$ if

- i) *support property*: $N_{i,m}(x) = 0$, $x \notin (t_i, t_{i+m})$
- ii) *positivity property*: $N_{i,m}(x) > 0$, $x \in (t_i, t_{i+m})$
- iii) *partition of unity property*: $\sum_i N_{i,m}(x) = 1$, $\forall x \in [a, b]$
- iv) *endpoint property*: $N_{i,m}$ vanishes $m - m_i^R$ times at t_i (exactly if $m_i^r > 1$) and $m - m_{i+m}^L$ times at t_{i+m} (exactly if $m_{i+m}^L > 1$)

Bernstein basis

Let $\mathcal{U}_m \subset C^{m-2}(I)$ be an m -dimensional space. Given $a, b \in I$, $a < b$, we say that $B_{i,m}$, $i = 0, \dots, m - 1$ is the Bernstein basis of \mathcal{U}_m relative to $[a, b]$ if

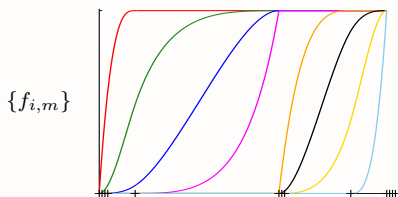
- i) *zero property*:
 - $B_{0,m}(a) \neq 0$ and $B_{0,m}$ vanishes $m - 1$ times at b
 - $B_{m-1,m}(b) \neq 0$ and $B_{m-1,m}$ vanishes $m - 1$ times at a
 - for $1 \leq i \leq m - 2$, $B_{i,m}$ vanishes exactly i times at a and exactly $m - 1 - i$ times at b
- ii/iii) *positivity/normalization properties*: $B_{i,m}(x) > 0$, $x \in (a, b)$, $\sum_i B_{i,m}(x) = 1$

Computing with generalized splines [1]

- Many approaches to address specific spaces
 - GB-splines [Kvasov, Sattayatham, JCAM 1999]
 - geometric construction [Costantini, CAGD 2000; Costantini, Manni, Rend. Mat. 2006]
 - generalized divided differences [Muhlbach, JCAM 2006]
 - integral recurrence relation [Bister, Prautzsch, *Curv. and Surf. in CAGD* 1997]
- Difficult to apply for spline spaces of **very general form**, i.e.
 - non-uniform and multiple knots
 - $\mathcal{U}_{j,m}$ different on each interval $[x_j, x_{j+1})$
 - spaces $\mathcal{U}_{j,m}$ have high dimension ($m > 4$)
 - many of the generators of $\mathcal{U}_{j,m} = \langle 1, u_{j,2}, \dots, u_{j,m} \rangle$ are non-polynomial functions

Computing with generalized splines [11]

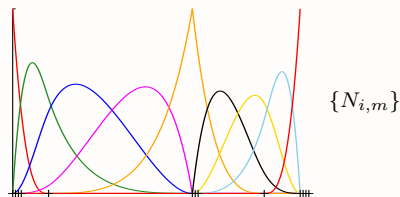
- Transition functions easily allow for handling any kind of space and mixing different spaces



$m = 4$

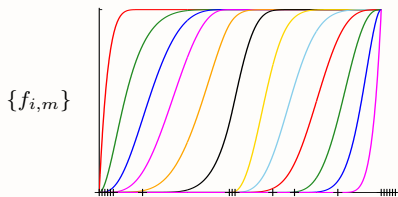
$$\mathcal{U}_{1,m} = \mathcal{U}_{4,m} = \langle 1, x, x^2, x^3 \rangle \quad \mathcal{U}_{2,m} = \mathcal{U}_{3,m} = \langle 1, x, \cosh x, \sinh x \rangle$$

$$[a, b] = [0, 8] \quad \Delta = \{1, 5, 7\} \quad M = \{1, 3, 1\}$$



Computing with generalized splines [11]

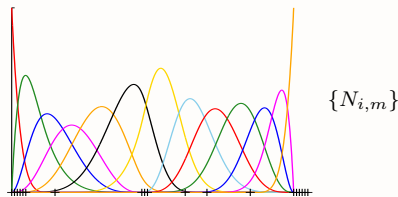
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$m = 6$

$$\mathcal{U}_{j,m} = \langle 1, x, \cos x, \sin x, \cosh x, \sinh x \rangle \quad \forall j$$

$$[a, b] = [0, 6.5] \quad \Delta = \{1, 3, 4, 4.5, 5.5\} \quad M = \{1, 3, 1, 1, 1\}$$



Computing with generalized splines [II]

- Transition functions easily allow for handling any kind of space and mixing different spaces

$$\{f_{i,m}\}$$

$$\{B_{i,m}\}$$

$$m = 6$$

$$\mathcal{U}_m = \langle 1, x, \cos x, \sin x, \cosh(\phi x), \sinh(\phi x) \rangle, \phi = 1, \dots, 20$$

$$[a, b] = [0, 1] \quad \Delta = \emptyset \quad M = \emptyset$$

Computing with generalized splines [II]

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$$\{N_{i,m}\}$$

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 - Bernstein basis: existence is characterized in terms of **critical length** of the QEC space [Carnicer, Mainar, Peña, Mazure, Brilleaud]

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$$\mathcal{U}_m = \langle 1, \cosh x \cos x, \cosh x \sin x, \sinh x \cos x, \sinh x \sin x \rangle$$

$$[a, b] = [0, d], \quad d = \frac{\pi}{2}, \dots, 2\pi \quad \Delta = \emptyset \quad M = \emptyset$$

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Comput. Math. Appl. 2012:
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$$(\theta_j = 1 \text{ except } \theta_3 = 1, \dots, 3)$$

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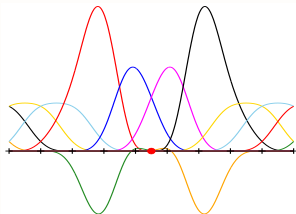
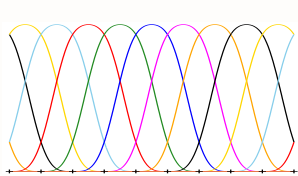
- Existence of the B-spline basis depends on the length of each knot interval (particular cases studied by [Schumaker, Mazure, Brilleaud])

B-spline vs. ONTP Basis

- A spline space $\hat{S}(\hat{\mathcal{U}}_m, \hat{M}, \hat{\Delta})$ is obtained from $S(\mathcal{U}_m, M, \Delta)$ by knot insertion if
 - $S(\mathcal{U}_m, M, \Delta)$ and $\hat{S}(\hat{\mathcal{U}}_m, \hat{M}, \hat{\Delta})$ have section spaces of the same dimension, that is m
 - $S(\mathcal{U}_m, M, \Delta) \subset \hat{S}(\hat{\mathcal{U}}_m, \hat{M}, \hat{\Delta})$

Equivalent conditions

- $S(\mathcal{U}_m, M, \Delta)$ has the B-spline basis and any spline space obtained from it by knot insertion has the B-spline basis too
- There exists the Optimal Normalized Totally Positive basis in $S(\mathcal{U}_m, M, \Delta)$



$$x_{j+1} - x_j = 3.5, m_j = 1, \mathcal{U}_{j,m} = \langle 1, x, x^2, \cos x, \sin x \rangle, \forall j$$

ONTP Basis and weight functions

Weight functions

A sequence (w_0, \dots, w_{m-2}) is a system of piecewise weight functions for $S(\mathcal{U}_m, M, \Delta)$ if

- i) $w_k > 0, \forall k = 0, \dots, m-2$
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- With any system of p.w.f., we can associate **piecewise generalized derivatives**

$$L_0 v = \frac{v}{w_0}, \quad L_k v = \frac{1}{w_k} D L_{k-1} v, \quad k = 1, \dots, m-2$$

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$$L_0 v = \frac{v}{w_0}, \quad L_k v = \frac{1}{w_k} D L_{k-1} v, \quad k = 1, \dots, m-2$$

Theorem [Antonelli, B., Casciola, Romani; 2014]

A generalized spline space $S(\mathcal{U}_m, M, \Delta)$ containing constants has the ONTP basis
 \iff there exists a system of p.w.f. and a spline space

$V := S(L_{m-2} \mathcal{U}_m, M_{m-2}, \Delta)$ of dimension $2 + \sum_j m_{j,m-2}$, s.t. $1 \in V$ and

$$S(\mathcal{U}_m, M, \Delta) = \{s \text{ is } C^{m-m_j-1} \text{ at } x_j \mid L_{m-2} s \in V\}$$

ONTP Basis and weight functions

Weight functions

A sequence (w_0, \dots, w_{m-2}) is a system of piecewise weight functions for $S(\mathcal{U}_m, M, \Delta)$ if

- i) $w_k > 0, \forall k = 0, \dots, m-2$
- ii) w_k is $C^{m-k-m_{j,k}-1}$ at x_j , with $m_{j,k} := \min(m_j, m-k)$

- With any system of p.w.f., we can associate **piecewise generalized derivatives**

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$$S(\mathcal{U}_m, M, \Delta) = \{s \text{ is } C^{m-m_j-1} \text{ at } x_j \mid L_{m-2}s \in V\}$$

- $w_k \in S(DL_{k-1} \mathcal{U}_m, M_{k-1}, \Delta)$, $M_{k-1} = \{m_{j,k-1}\}$
- The space $S(L_k \mathcal{U}_m, M_k, \Delta)$ has dimension $(m-k) + \sum_j m_{j,k}$,

Existence By means of transition functions

- The transition functions allow us to generate a particular sequence of p.w.f. for $S(\mathcal{U}_m, M, \Delta)$

$$\begin{aligned} w_0 &= 1 \\ w_{k+1} &= \sum_i Df_{i,m-k}, \quad k = 0, \dots, m-3 \\ f_{i,m-k-1} &= \frac{\sum_{\ell \geq i} Df_{\ell,m-k}}{w_{k+1}} \end{aligned}$$

where $f_{i,m} \in S(\mathcal{U}_m, M, \Delta)$ and $f_{i,m-k} \in S(L_k \mathcal{U}_m, M_k, \Delta)$

- By construction $w_k \in C^{m-k-m_{j,k}-1}$ at x_j

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Theorem

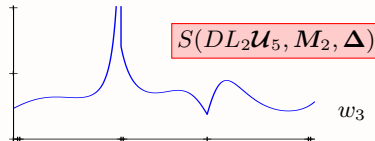
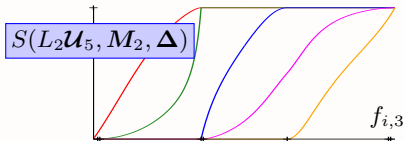
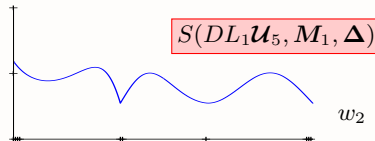
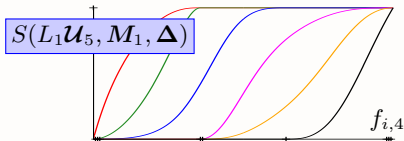
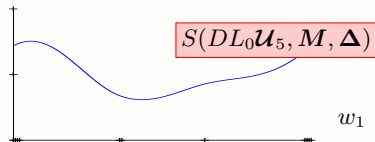
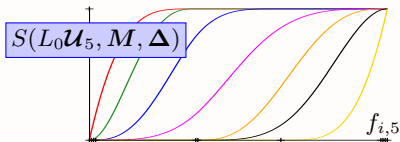
The transition functions $\{f_{i,m-k}\}$ are positive and monotonically increasing in all the spaces $S(L_k \mathbf{u}_m, \mathbf{M}_k, \Delta)$, $k = 0, \dots, m-3 \iff w_{k+1} > 0, \forall k = 0, \dots, m-3$

Consequence:

- all $f_{i,m-k}$ are positive and monotonically increasing \implies ONTP
- one of the $f_{i,m-k}$ is not positive/monotonically increasing \implies ~~ONTP~~

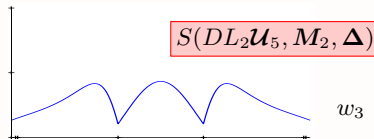
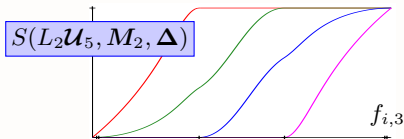
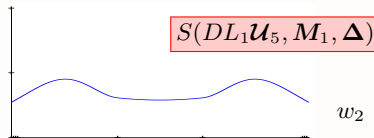
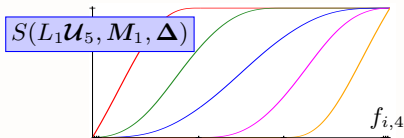
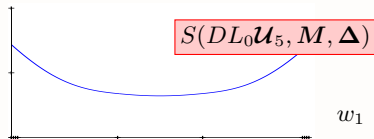
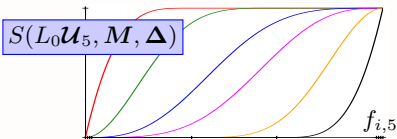
Example [1]

$S(\mathcal{U}_5, M, \Delta)$, $\mathcal{U}_{j,5} = \langle 1, x, x^2, \cos x, \sin x \rangle$, $[a, b] = [0, 7]$, $\Delta = \{2.5, 4.5\}$, $M = \{2, 1\}$



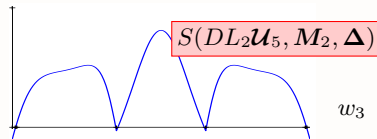
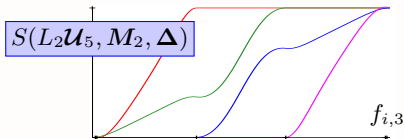
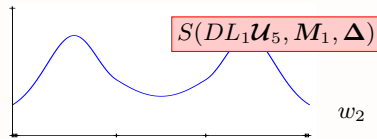
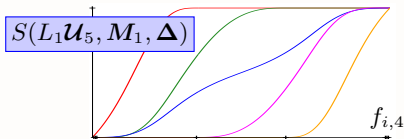
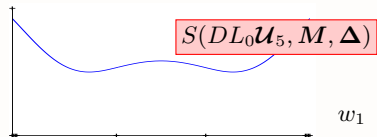
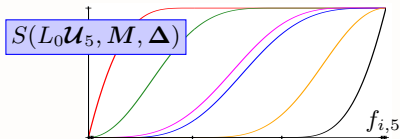
Example [II]

$$S(\mathcal{U}_5, M, \Delta), \mathcal{U}_{j,5} = \langle 1, x, x^2, \cos x, \sin x \rangle, [a, b] = [0, 7], \Delta = \{2.5, 4.5\}, M = \{1, 1\}$$



Example [III]

$S(\mathcal{U}_5, M, \Delta)$, $\mathcal{U}_{j,5} = \langle 1, x, x^2, \cos x, \sin x \rangle$, $[a, b] = [0, 10]$, $\Delta = \{3.5, 6.5\}$, $M = \{1, 1\}$





Thank
you!